



**Keller &
Heckman**

Keller and Heckman LLP Presents
REACH 30/30
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State of Play of the REACH Revision

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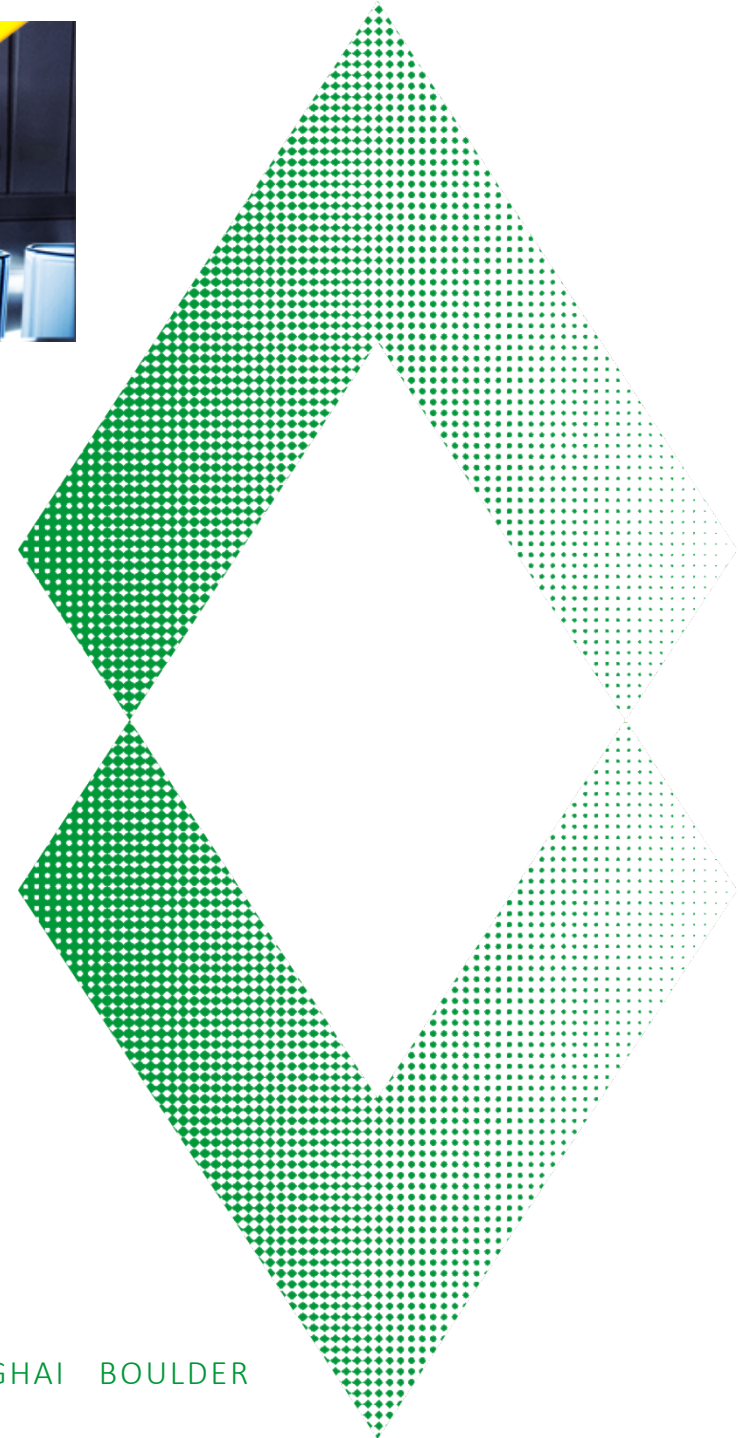
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Ales Bartl

- ◆ Ales has a broad experience in EU product regulatory law, including REACH, CLP, POPs, biocidal legislation, food law, medical devices, electronic products, and product and food safety. He advises on regulatory compliance of a broad range of products marketed in the EU and represents clients before EU and national competent authorities on compliance and enforcement issues. Ales also advises on product recalls and withdrawals.
- ◆ Ales primarily focuses on EU regulation of chemicals and food, including representing clients in various procedures before the European Chemicals Agency (ECHA) and European Food Safety Authority (EFSA).



Marie Escorneboueu

- ◆ Marie Escorneboueu counsels clients on regulatory and compliance matters related to food and drug law, with an emphasis on food and drug packaging, cosmetics, chemical control, and environmental issues.
- ◆ She assists companies in obtaining regulatory clearances for food-contact materials at the European Union (EU) and Member State level and advises clients with respect to mutual recognition. She also advises companies on sustainability initiatives; REACH matters; the Classification, Labelling, and Packaging (CLP) Regulation; and Biocidal Products Regulation (BPR).



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Timeline of the Revision



Timeline

- ◆ Commission proposal to revise REACH: *4Q 2023*
- ◆ Followed by full legislative procedure (EP, Council)
- ◆ Final adoption: *2026/2027*
- ◆ Latest update as to the content of the revision: Commission presentation at CARACAL ([CARACAL-48 \(28 March 2023\) AP 4.1](#))



Restrictions & Authorisations



Current State of the REACH Restrictions (1)



- ◆ Current types of REACH Restrictions:
 - ◇ Ad hoc restrictions (based on risk assessment + socio-economic analysis) – [Annex XVII REACH](#), limited number (Article 68(1))
 - ◇ Generic restrictions (not based on risk assessment + socio-economic analysis, but based on classification as CMR) (Article 68(2))
 - ◇ In general, restrictions are applicable to FCMs unless explicitly derogated or exempted

Current State of the REACH Restrictions (2)



- ◆ Increased reliance on grouped restrictions:
 - ◇ Group assessment of chemicals with similar hazard, risk, and/or potential for substitution
 - ◇ First battlefield:
 - Poly- and perfluoroalkyl substances (PFAS): proposal published in March 2023 (consultation ongoing)
 - Bisphenols: proposal published in October 2022 (consultation ongoing)
 - Intentionally added microplastics (adopted April 2023)
 - ◇ Upcoming:
 - Phthalates (Ortho-phthalates (C4-C6)): proposal expected in 2023

Revised Restriction Process – Essential Use Concept (1)



- ◆ Functioning of the concept
 - ◇ Replacing the current concept where exemptions from restrictions are granted ad hoc, based on a risk assessment and socio-economic analysis
 - ◇ Horizontal concept
 - To be included in sectoral legislation i.e., cosmetics, BPR, FCM
 - Case-by-case assessment based on the sector (e.g., what is essential in one sector may not be in another)
 - ◇ Derogations in principle time-limited: the goal remains phasing out
 - Industry must demonstrate appropriate efforts are made to substitute the controlled substance

Revised Restriction Process – Essential Use Concept (2)



- ◆ Wood Report of April 2023
- ◆ To be defined as ‘essential,’ the use shall be:
 1. Necessary for health and safety, **AND/OR** critical for the functioning of society
 2. **AND** no alternatives that are acceptable from the standpoint of environment and health

Revised Restriction Process – Essential Use Concept (3)



- ◆ Necessary for health and safety
 - ◇ For example: preventing, monitoring, or treating severe health issues; sustaining basic conditions for human life and health; managing and preventing health crises and emergencies; personal safety; public safety
- ◆ Critical for the functioning of society (incl. environmental benefits?)
 - ◇ Element to be further defined by way of a horizontal guidance
 - Some hints: managing societal risks and impacts from natural and man-made crises and emergencies; protecting and restoring the natural environment

Revised Restriction Process – Generic Risk Management Approach (GRA)



- ◆ Fast track restrictions: do not require a risk assessment or a socio-economic assessment; just Commission Regulation
 - ◇ Right now, only for CMRs and only for consumer uses
- ◆ Extension of the GRA:
 - ◇ New categories:
 - Endocrine Disruptors (ED); Persistent Bioaccumulative and Toxic (PBT); vPvB; Substances with specific target organ toxicity (single exposure (STOT SE) or repeated exposure (STOT RE)), immunotoxic substances; respiratory sensitisers; and possibly Persistent, Mobile and Toxic (PMT) and vPvM
 - ◇ Likely tied up to harmonized C&L
 - ◇ Including professional uses!
- ◆ Restrictions in articles: priority to those with exposure (potential 'safe use' exemption?)

Revised Restriction Process – Derogations



- ◆ Derogations from both generic restrictions (Article 68(2) and ad hoc restrictions (Article 68(1) only granted for ‘essential uses’)
- ◆ Commission is considering two types of derogations:
 - ◇ Some essential use derogations directly in the text of REACH (for clearly essential uses); and
 - ◇ Ad hoc derogations

Impact of REACH Revision on FCM

- ◆ New hazard classes for phase-out and/or generic assessment;
- ◆ Essential use concept likely to apply as well

Revision of EU FCM rules: Main policy themes and pillars

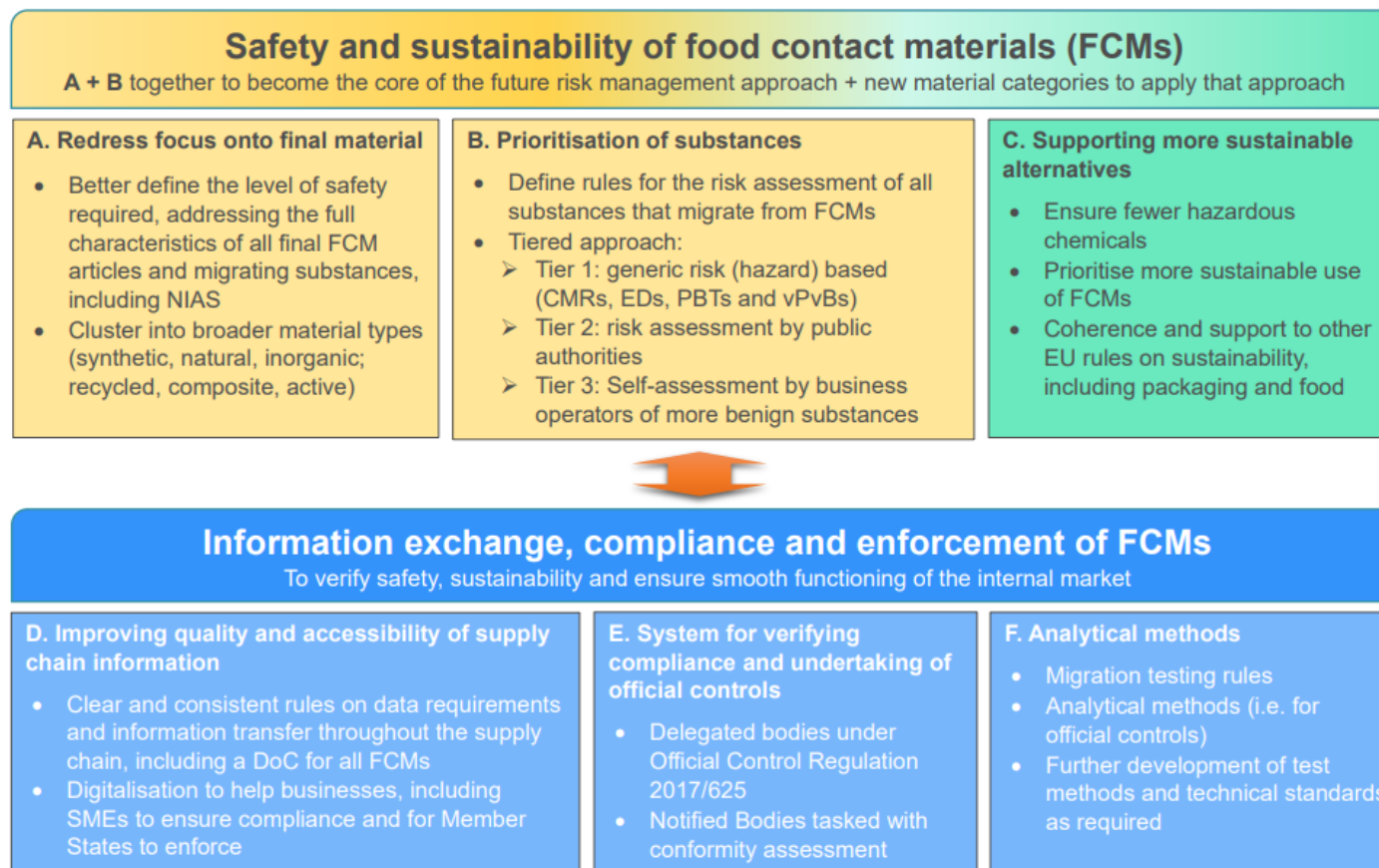


Image from the [European Commission's website](#)

Reform of REACH Authorization

- ◆ REACH authorization likely to remain in REACH
- ◆ New: essential use derogation upfront



Polymer Registration



Polymers Requiring Registration (PRR)

- ◆ Following debates in CARACAL, Commission dropped initially considered concept of Polymers of Low Concern (PLC) – **Amended PRR criteria, version 1 April 2022**
- ◆ Consequence: all polymers assessed against PRR criteria
 - ◇ PRR: **all** fluorinated polymers; certain cationic polymers; polymers meeting molecular weight criterion; polymers in certain hazard classification; certain polymers presenting surface activity and polymers suspected to degrade into substances of concern
 - ◇ **Two exceptions** (i.e., not assessed against PRR criteria):
 - Polymeric precursors handled like intermediates under SCC to produce other polymers or articles
 - Polyesters made out of an EU-list of monomers made by COM, containing only ‘low hazard’ monomers

Grouping

- ◆ Estimate: 70,000 - 400,000 'unique polymers' subject to registration, focus on PRRs could lead to the registration of 30,000 polymers, which could be grouped into circa 10,000
 - **Grouping will be essential!**
- ◆ Insights from the European Chemicals Agency (ECHA):
 - ◆ Grouping of polymers to be primarily based on the identity of the starting materials contributing to at least 2% of the polymerized part of the polymers (i.e., chemical similarity), possibly complemented with phys-chem data
 - ◆ Hazard data provided assumed to be applicable for all polymers in the group
 - ◆ Validation of the proposed grouping at the stage of an assessment of a testing proposal or compliance check
- ◆ **Grouping is the responsibility of the industry → New Consortia to tackle grouping and group registrations!**

Notification Obligation for All Polymers



- ◆ Limited information: identification of polymers, assess if a polymer is a PRR, information allowing to define grouping criteria
 - ◇ More info for PRR, less for non-PRR
- ◆ **Timing:** earliest three years after entry into force for polymers on the market (tbd) + before start of marketing for new polymers

Placeholders for Registration Obligation

- ◆ There will be a new Annex for polymer registration requirements
- ◆ *Placeholder* for information requirements – by a delegated act
 - ◇ Depending on molecular weight – low MW polymers: similar to non-polymeric substances; medium and high MW polymers: very limited requirements
 - ◇ **Timing:** eight years for low MW polymers; twelve years for medium and high MW polymers
 - ◇ Monomers and other precursors still requiring registration?
 - Probably yes, unless handled under ‘strictly controlled conditions’
- ◆ *Placeholder* for criteria for grouping of PRRs – delegated act or via a guidance
- ◆ These elements will be defined mainly based on notifications



Other Aspects



SVHC Listing

- ◆ Currently: 233 substances in the [Candidate List](#) of Substances of Very High Concern (SVHC); below the goals
- ◆ New elements:
 - ◇ Harmonized classification and labelling as ED, PBT, vPvB, PMT, and vPvM: sufficient for Candidate List
 - ◇ Additional notification by registrants and downstream users (publicly available): including emission and waste management data and possible alternatives
 - ◇ Annual fee

Extension of REACH Data Requirements

- ◆ New REACH data requirements related to:
 - ◇ New endpoints – particularly endocrine disruption (immunotoxicants? neurotoxicants?) – will lead to more substances being identified as such
 - ◇ Extension of data requirements for the 1 - 10 tons band (the same as 10 - 100 tons and CSR)
 - ◇ Information on environmental footprint (?)
- ◆ Expected cost sharing issues (related to existing registrants)

Mixture Assessment Factor

- ◆ Account for the cocktail effect of chemicals when assessing risks from chemicals (downstream uses often unknown to registrants)
- ◆ Introduction of a mixture assessment factor in Annex I of REACH (safety assessment)
 - ◇ CSR must document that each individual use is safe, i.e., that exposure \leq DNEL or PNEC
- ◆ Will be introduced by Commission Regulation!
 - ◇ $RCR \leq 1/MAF$
 - ◇ Proposed MAF = 5
 - ◇ Only substances registered at $\geq 1,000$ t/y
 - ◇ Derogation possible with a specific risk assessment



Improve Enforcement



Enforcement of Registration Requirements



- ◆ Revocation of registration numbers in case of persistent non-compliance or expiry of technical dossier
 - ◇ Persistent non-compliance = even delay with submitting requested data
- ◆ Technical dossier should be updated (and subject to compliance check) at least every [ten] years
- ◆ Whistleblowing



Thank You

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